

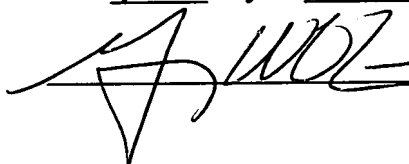


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Mail Stop AMENDMENT, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450,

On this 16th day of April, 2007

 4/16/07  
Signed Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Bergmann et al

Serial No.: 09/995,178

Group Art Unit: 3693

Filing Date: 11/27/2001

Examiner: Dass, Harish T.

Title: Method of Ascertaining an Efficient Frontier for Tax-Sensitive Investors

April 16, 2007

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**RESPONSE**

Dear Sir:

In response to the Office Action mailed 11/16/2006, Applicants hereby request the following amendments be entered:

Amendments to the Specification (Page 2);

Amendments to the Claims (pages 3 to 5);

And Remarks.